## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Smith

Art Unit: 2112

Serial No.: 10/077,228

Examiner: Trisha U. Vu

Filed: February 15, 2002

Docket: TI-30704

For: ARBITRATION IN LOCAL SYSTEM FOR ACCESS TO MEMORY IN A DISTANT

SUBSYSTEM

## PRIOR ART STATEMENT UNDER 37 CFR 1.97(c)

## PETITION AND FEE REQUESTING CONSIDERATION OF PRIOR ART STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATION OF FAX TRANSMITTAL UNDER 37 C.F.R. \$1.6(b)

I hereby certify that the above correspondence is being facsimile transmitted to the Patent and Trademark Office on September 28, 2004.

Robin E. Barnum

Dear Sir:

The Applicant respectfully petitions for consideration of this prior art statement under 37 CFR 1.97(c). This prior art statement is submitted following a first OFFICE ACTION on the merits of this application but before final action under 37 CFR 1.113 or notice of allowance under 37 CFR 1.311. Please charge the petition fee under 37 CFR 1.17(p) of \$180 to Texas Instruments Incorporated Deposit Account No. 20-0668.

If the Examiner has any questions or other correspondence regarding this application, Applicants request that the Examiner contact Applicants' attorney at the below listed telephone number and address to facilitate prosecution.

Texas Instruments Incorporated P.O. Box 655474 M/S 3999 Dallas, Texas 75265 (972) 917-5290

Fax: (972) 917-4418

Respectfully submitted,

Perset of Markel 1 Robert D. Marshall, Jr.

Req. No. 28,527

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

|       |   |                     |   | Complete If Known    |                   |  |
|-------|---|---------------------|---|----------------------|-------------------|--|
|       | Substitute for Form 1449A/PTO                 |                     |   | Application Number   |                   |  |
|       | INFORMATIO                                    | N DISCI OSIIDE      |   | Filing Date          | February 15, 2002 |  |
|       | INFORMATION DISCLOSURE STATEMENT BY APPLICANT |                     |   | First Named Inventor | Patrick J. Smith  |  |
|       |   |                     |   | Group Art Unit       | 2112              |  |
|       | (use as many si                               | heets as necessary) |   | Examiner Name        | Trisha U. Vu      |  |
| Sheet | 1   | of                  | 1 | Attorney Docket No.  | T1-30704          |  |

|                    |              |                      |                                      | U.S. PATE                     | T DOCUMENTS                |   |
|--------------------|--------------|----------------------|--------------------------------------|-------------------------------|----------------------------|---|
|                    |              | U.S. Patent Document |                                      | Name of Patentee or Applicant | Date of Pub. of            |   |
| Exam.<br>Initials* | Cite<br>No.1 | Number               | Kind Code <sup>2</sup><br>(if known) | of Cited Doc.                 | Cited Doc.<br>(mm-dd-yyyy) | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                    | AA           | 6.691,216 B2         |                                      | Kelly, et al.                 | 2/10/04                    |   |
|                    | AB           |                      |                                      |                               |                            |   |
|                    | AC           |                      |                                      |                               |                            |   |
|                    | AD           |                      |                                      |                               |                            |   |
|                    | AE           |                      |                                      |                               |                            |   |
|                    | AF           |                      |                                      |                               |                            |   |
|                    | AG           |                      |                                      |                               |                            |   |
|                    | AH           |                      |                                      |                               | L                          |   |

|                    |              |                         |                     |                                      | FOREIGN PATENT DO       | CUMENTS                    |  |                  |
|--------------------|--------------|-------------------------|---------------------|--------------------------------------|-------------------------|----------------------------|--|------------------|
| Exam.<br>Initials* | Cite<br>No.1 | Foreign Patent Document |                     |                                      | Name of Patentee or     | Date of Pub. of            |  | 1.               |
|                    |              | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>2</sup><br>(if known) | Applicant of Cited Doc. | Cited Doc.<br>(mm-dd-yyyy) | Pages, Columns, Lines, Where Relevant Passages or<br>Relevant Figures Appear | . T <sup>6</sup> |
|                    | BA           |                         |                     |                                      |                         |                            |  |                  |
|                    | BB           |                         |                     |                                      |                         |                            |  |                  |
|                    | BC           |                         |                     |                                      |                         |                            |  |                  |
|                    | BD           |                         |                     |                                      |                         |                            |  |                  |
|                    | BE           |                         |                     |                                      |                         |                            |  | <u> </u>         |
|                    | BF           |                         |                     |                                      |                         | <u> </u>                   |  | l                |
|                    | BG           |                         |                     |                                      | 100                     |                            | •  |                  |
|                    | ВН           |                         |                     |                                      |                         |                            |  |                  |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |              |   |    |  |  |
|---|--------------|---|----|--|--|
| Exam.<br>Initials*                                | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |  |  |
|   | CA           |   |    |  |  |
|   | СВ           |   |    |  |  |
|   | CC           |   |    |  |  |
|   | CD           |   |    |  |  |
|   | CE           |   |    |  |  |
|   | CF           |   |    |  |  |
|   | CG           |   |    |  |  |
|   | СН           |   |    |  |  |

| Examiner                              |   | Date Considered |  |
|---------------------------------------|---|-----------------|--|
| Lvemme                                |   | Date COIRIGHT   |  |
| Signature                             | · |                 |  |
| C C C C C C C C C C C C C C C C C C C |   |                 |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. I Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspio.gov or MPEP 901.04, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 bours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual tase. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.